



## News Bulletin

<b>Date</b>	<b>November 30<sup>th</sup>, 2017</b>
<b>Subject</b>	<b>Legal &amp; Audit Manager Module Availability on PCS™ 10.1.x</b>

CubelQ announces the availability of its **Legal & Audit Manager - LAM™ module** on Prime Compliance Suite – **PCS™ version 10.1.x**.

CubelQ's LAM™ module enhances Prime Compliance Suite™ - PCS™ reporting capabilities with the following functionality:

- Management of requesting organizations identifying details.
- Comprehensive searching in PCS™ database for customer and transaction information that correspond to a specific request.
- Search results preview and approval by authorized personnel under dual control.
- Reporting of the approved search results using pre-define response templates.
- Storage of the approved search results for internal or external audit purposes.

### Enhanced Audit Capabilities

On report finalization LAM™ creates a data set, called "audit data set" that contains:

- The details of the organization and its employee(s) that requested the report.
- The results of the original search including customer names that were removed.
- The actual final report will be submitted to the requesting organization.
- The actual original document(s) in electronic or scanned (from the physical) form that initiated the search.

This data set is stored in separate database, the LAM™ database, locked (changes are not allowed), so that a complete audit trail of the initial request, the search, the manipulations and the final reports to be available for future internal or external audit purposes.

Database lock is realized under dual control where end user designated personnel keeps one password and CubelQ security officer keeps the second password.

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If you have any questions please contact your Client Services or Relationship Manager or our Support Help Desk via e-mail to: [sw.support@cubelq.gr](mailto:sw.support@cubelq.gr).

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